

APPLICATION FOR EXEMPTION FROM AUDIT

LONG FORM

NAME OF GOVERNMENT ADDRESS

River Valley Village Metropolitan District
 2154 E. Commons Avenue, Ste 2000
 c/o White Bear Ankele Tanaka & Waldron
 Centennial, CO 80121
 George M. Rowley
 303-658-1800
 griwkey@wbapc.com

For the Year Ended
 12/31/2022
 or fiscal year ended:

CONTACT PERSON
 PHONE
 EMAIL

CERTIFICATION OF PREPARER

I certify that I am an independent accountant with knowledge of governmental accounting and that the information in the Application is complete and accurate to the best of my knowledge. I am aware that the Audit Law requires that a person independent of the entity complete the application if revenues or expenditure are at least \$100,000 but not more than \$750,000, and that independent means someone who is separate from the entity.

NAME:	Diane Wheeler
TITLE	District Accountant
FIRM NAME (if applicable)	Simmons & Wheeler, P.C.
ADDRESS	304 Inverness Way South, Suite 490 Englewood, CO 80112
PHONE	303-689-0833
DATE PREPARED	3/23/2023
RELATIONSHIP TO ENTITY	CPA engaged to prepare financial statements for the District

PREPARER (SIGNATURE REQUIRED)

Diane Wheeler

Has the entity filed for, or has the district filed, a Title 32, Article 1 Special District Notice of Inactive Status during the year? [Applicable to Title 32 special districts only, pursuant to Sections 32-1-103 (9.3) and 32-1-104 (3), C.R.S.]

YES	NO
<input type="checkbox"/>	<input checked="" type="checkbox"/>

If Yes, date filed:

PART 1 - FINANCIAL STATEMENTS - BALANCE SHEET

*** Indicate Name of Fund**
NOTE: Attach additional sheets as necessary.

Line #	Description	Governmental Funds		Debt Fund	Description	Proprietary/Fiduciary Funds		Please use this space to provide explanation of any items on this page
		General Fund				Fund*	Fund*	
	Assets							
1-1	Cash & Cash Equivalents	\$ 64,051	\$ 133,817		Cash & Cash Equivalents	\$ -	\$ -	
1-2	Investments	\$ 5,085	\$ 20,132		Investments	\$ -	\$ -	
1-3	Receivables	\$ 9,239	-		Receivables	\$ -	\$ -	
1-4	Due from Other Entities or Funds	\$ 356	\$ 1,600		Due from Other Entities or Funds	\$ -	\$ -	
1-5	Property Tax Receivable	\$ 62,241	\$ 274,435		Other Current Assets [specify...]	\$ -	\$ -	
	All Other Assets [specify...]							
1-6	Lease Receivable (as Lessor)	\$ -	\$ -		Total Current Assets	\$ -	\$ -	
1-7		\$ -	\$ -		Capital & Right to Use Assets, net (from Part 6-4)	\$ -	\$ -	
1-8		\$ -	\$ -		Other Long Term Assets [specify...]	\$ -	\$ -	
1-9		\$ -	\$ -			\$ -	\$ -	
1-10		\$ -	\$ -			\$ -	\$ -	
1-11		\$ -	\$ -			\$ -	\$ -	
	(add lines 1-1 through 1-10)	\$ 140,972	\$ 429,984		TOTAL ASSETS	\$ -	\$ -	
	Deferred Outflows of Resources:							
1-12	[specify...]	\$ -	\$ -		Deferred Outflows of Resources	\$ -	\$ -	
1-13	[specify...]	\$ -	\$ -		[specify...]	\$ -	\$ -	
1-14		\$ -	\$ -		[specify...]	\$ -	\$ -	
	(add lines 1-12 through 1-13)	\$ -	\$ -		TOTAL DEFERRED OUTFLOWS	\$ -	\$ -	
1-15		\$ 140,972	\$ 429,984		TOTAL ASSETS AND DEFERRED OUTFLOWS	\$ -	\$ -	
	Liabilities							
1-16	Accounts Payable	\$ 26,838	\$ -		Liabilities	\$ -	\$ -	
1-17	Accrued Payroll and Related Liabilities	\$ -	\$ -		Accounts Payable	\$ -	\$ -	
1-18	Unearned Property Tax Revenue	\$ -	\$ -		Accrued Payroll and Related Liabilities	\$ -	\$ -	
1-19	Due to Other Entities or Funds	\$ -	\$ -		Accrued Interest Payable	\$ -	\$ -	
1-20	All Other Current Liabilities	\$ -	\$ -		Due to Other Entities or Funds	\$ -	\$ -	
	(add lines 1-16 through 1-20)	\$ 26,838	\$ -		All Other Current Liabilities	\$ -	\$ -	
1-21		\$ -	\$ -		TOTAL CURRENT LIABILITIES	\$ -	\$ -	
1-22	All Other Liabilities [specify...]	\$ -	\$ -		(add lines 1-16 through 1-20) TOTAL CURRENT LIABILITIES	\$ -	\$ -	
1-23		\$ -	\$ -		Proprietary Debt Outstanding (from Part 4-4)	\$ -	\$ -	
1-24		\$ -	\$ -		Other Liabilities [specify...]	\$ -	\$ -	
1-25		\$ -	\$ -			\$ -	\$ -	
1-26		\$ -	\$ -			\$ -	\$ -	
1-27		\$ 26,838	\$ -		TOTAL LIABILITIES	\$ -	\$ -	
	(add lines 1-21 through 1-26)	\$ 26,838	\$ -		Deferred Inflows of Resources	\$ -	\$ -	
1-28	Deferred Property Taxes	\$ 62,241	\$ 274,435		Deferred Inflows of Resources	\$ -	\$ -	
1-29	Lease related (as lessor)	\$ -	\$ -		Pension/OPEB Related	\$ -	\$ -	
1-30		\$ 62,241	\$ 274,435		Other [specify...]	\$ -	\$ -	
	(add lines 1-28 through 1-29)	\$ 62,241	\$ 274,435		TOTAL DEFERRED INFLOWS	\$ -	\$ -	
	Fund Balance				Net Position	\$ -	\$ -	
1-31	Nonspendable Prepaid	\$ -	\$ -		Net Investment in Capital Assets	\$ -	\$ -	
1-32	Nonspendable Inventory	\$ -	\$ -		Emergency Reserves	\$ -	\$ -	
1-33	Restricted [specify...]	\$ 1,453	\$ 155,549		Other Designations/Reserves	\$ -	\$ -	
1-34	Committed [specify...]	\$ -	\$ -		Restricted	\$ -	\$ -	
1-35	Assigned [specify...]	\$ -	\$ -		Undesignated/Unrestricted	\$ -	\$ -	
1-36	Unassigned:	\$ 50,440	\$ -			\$ -	\$ -	
1-37		\$ -	\$ -		TOTAL DEFERRED INFLOWS	\$ -	\$ -	
	(add lines 1-31 through 1-36)	\$ 51,893	\$ 155,549		Net Position	\$ -	\$ -	
	TOTAL FUND BALANCE	\$ 51,893	\$ 155,549		Net Investment in Capital Assets	\$ -	\$ -	
	(add lines 1-27, 1-30 and 1-37)	\$ 140,972	\$ 429,984		Emergency Reserves	\$ -	\$ -	
1-38		\$ 140,972	\$ 429,984		Other Designations/Reserves	\$ -	\$ -	
	TOTAL LIABILITIES, DEFERRED INFLOWS, AND FUND BALANCE	\$ 140,972	\$ 429,984		Restricted	\$ -	\$ -	
		\$ 140,972	\$ 429,984		Undesignated/Unrestricted	\$ -	\$ -	
		\$ 140,972	\$ 429,984		TOTAL LIABILITIES, DEFERRED INFLOWS, AND NET POSITION	\$ -	\$ -	

PART 2 - FINANCIAL STATEMENTS - OPERATING STATEMENT - REVENUES

Line #	Description	Governmental Funds		Debt Fund	Description	Proprietary/Fiduciary Funds	
		General Fund				Fund*	Fund*
Tax Revenue							
2-1	Property (include mills levied in Question 1b-6)	\$ 60,080	\$ 271,732		Property (include mills levied in Question 1b-6)	\$ -	\$ -
2-2	Specific Ownership	\$ 4,262	\$ 19,274		Specific Ownership	\$ -	\$ -
2-3	Sales and Use Tax	\$ -	\$ -		Sales and Use Tax	\$ -	\$ -
2-4	Other Tax Revenue (specify...):	\$ -	\$ -		Other Tax Revenue (specify...):	\$ -	\$ -
2-5		\$ -	\$ -			\$ -	\$ -
2-6		\$ -	\$ -			\$ -	\$ -
2-7		\$ -	\$ -			\$ -	\$ -
2-8	Add lines 2-1 through 2-7	\$ 64,342	\$ 291,006		Add lines 2-1 through 2-7	\$ -	\$ -
	TOTAL TAX REVENUE				TOTAL TAX REVENUE		
2-9	Licenses and Permits	\$ -	\$ -		Licenses and Permits	\$ -	\$ -
2-10	Highway Users Tax Funds (HUTF)	\$ -	\$ -		Highway Users Tax Funds (HUTF)	\$ -	\$ -
2-11	Conservation Trust Funds (Lottery)	\$ -	\$ -		Conservation Trust Funds (Lottery)	\$ -	\$ -
2-12	Community Development Block Grant	\$ -	\$ -		Community Development Block Grant	\$ -	\$ -
2-13	Fire & Police Pension	\$ -	\$ -		Fire & Police Pension	\$ -	\$ -
2-14	Grants	\$ -	\$ -		Grants	\$ -	\$ -
2-15	Donations	\$ -	\$ -		Donations	\$ -	\$ -
2-16	Charges for Sales and Services	\$ -	\$ -		Charges for Sales and Services	\$ -	\$ -
2-17	Rental Income	\$ -	\$ -		Rental Income	\$ -	\$ -
2-18	Fines and Forfeits	\$ -	\$ -		Fines and Forfeits	\$ -	\$ -
2-19	Interest/Investment Income	\$ 1,759	\$ 1,191		Interest/Investment Income	\$ -	\$ -
2-20	Tap Fees	\$ -	\$ -		Tap Fees	\$ -	\$ -
2-21	Proceeds from Sale of Capital Assets	\$ -	\$ -		Proceeds from Sale of Capital Assets	\$ -	\$ -
2-22	All Other (specify...): Miscellaneous	\$ 217	\$ -		All Other (specify...):	\$ -	\$ -
2-23		\$ -	\$ -			\$ -	\$ -
2-24	Add lines 2-8 through 2-23	\$ 66,318	\$ 292,197		Add lines 2-8 through 2-23	\$ -	\$ -
	TOTAL REVENUES				TOTAL REVENUES		
Other Financing Sources							
2-25	Debt Proceeds	\$ -	\$ -		Debt Proceeds	\$ -	\$ -
2-26	Lease Proceeds	\$ -	\$ -		Lease Proceeds	\$ -	\$ -
2-27	Developer Advances	\$ -	\$ -		Developer Advances	\$ -	\$ -
2-28	Other (specify...):	\$ -	\$ -		Other (specify...):	\$ -	\$ -
2-29	Add lines 2-25 through 2-28	\$ -	\$ -		Add lines 2-25 through 2-28	\$ -	\$ -
	TOTAL OTHER FINANCING SOURCES				TOTAL OTHER FINANCING SOURCES		
2-30	Add lines 2-24 and 2-29	\$ 66,318	\$ 292,197		Add lines 2-24 and 2-29	\$ -	\$ -
	TOTAL REVENUES AND OTHER FINANCING SOURCES				TOTAL REVENUES AND OTHER FINANCING SOURCES		
GRAND TOTALS						\$ -	\$ -
IF GRAND TOTAL REVENUES AND OTHER FINANCING SOURCES for all funds (Line 2-29) are GREATER than \$750,000 -STOP. You may not use this form. An audit may be required. See Section 29-1-604, C.R.S., or contact the OSA Local Government Division at (303) 869-3000 for assistance.						\$ -	\$ 358,515

PART 3 - FINANCIAL STATEMENTS - OPERATING STATEMENT - EXPENDITURES/EXPENSES

Line #	Description	Governmental Funds		Debt Fund	Description	Proprietary/Fiduciary Funds		Fund*	Fund*
		General Fund							
Expenditures									
3-1	General Government	\$	30,564	\$	12,082			\$	-
3-2	Judicial	\$	-	\$	-			\$	-
3-3	Law Enforcement	\$	-	\$	-			\$	-
3-4	Fire	\$	-	\$	-			\$	-
3-5	Highways & Streets	\$	-	\$	-			\$	-
3-6	Solid Waste	\$	-	\$	-			\$	-
3-7	Contributions to Fire & Police Pension Assoc.	\$	-	\$	-			\$	-
3-8	Health	\$	-	\$	-			\$	-
3-9	Culture and Recreation	\$	-	\$	-			\$	-
3-10	Transfers to other districts	\$	-	\$	-			\$	-
3-11	Other [specify...]:	\$	-	\$	-			\$	-
3-12		\$	-	\$	-			\$	-
3-13		\$	-	\$	-			\$	-
3-14	Capital Outlay	\$	-	\$	-			\$	-
Debt Service									
3-15	Principal (should match amount in 4-4)	\$	-	\$	100,000			\$	-
3-16	Interest	\$	-	\$	183,740			\$	-
3-17	Bond Issuance Costs	\$	-	\$	-			\$	-
3-18	Developer Principal Repayments	\$	-	\$	-			\$	-
3-19	Developer Interest Repayments	\$	-	\$	-			\$	-
3-20	All Other [specify...]:	\$	-	\$	-			\$	-
3-21		\$	-	\$	-			\$	-
3-22	Add lines 3-1 through 3-21	\$	30,564	\$	295,822			\$	-
TOTAL EXPENDITURES									
3-23	Interfund Transfers (In)	\$	-	\$	-			\$	-
3-24	Interfund Transfers Out	\$	-	\$	-			\$	-
3-25	Other Expenditures (Revenues):	\$	-	\$	-			\$	-
3-26		\$	-	\$	-			\$	-
3-27		\$	-	\$	-			\$	-
3-28		\$	-	\$	-			\$	-
3-29	(Add lines 3-23 through 3-28)	\$	-	\$	-			\$	-
TRANSFERS AND OTHER EXPENDITURES									
3-30	Excess (Deficiency) of Revenues and Other Financing Sources Over (Under) Expenditures	\$	35,754	\$	(3,625)			\$	-
Net Increase (Decrease) in Net Position									
3-31	Line 2-29, less line 3-22, less line 3-29, less line 3-23	\$	16,139	\$	159,174			\$	-
Net Position, January 1 from December 31 prior year report									
3-32	Prior Period Adjustment (MUST explain)	\$	-	\$	-			\$	-
3-33	Fund Balance, December 31	\$	51,893	\$	155,549			\$	-
Sum of Lines 3-30, 3-31, and 3-32									
This total should be the same as line 1-37.									
IF GRAND TOTAL EXPENDITURES for all funds (Line 3-22) are GREATER than \$750,000 - STOP. You may not use this form. An audit may be required. See Section 29-1-604, C.R.S., or contact the OSA Local Government Division at (303) 869-3000 for assistance.									

Please use this space to provide explanation of any items on this page

PART 4 - DEBT OUTSTANDING, ISSUED, AND RETIRED

Please answer the following questions by marking the appropriate boxes.

Please use this space to provide any explanations or comments:

4-1 Does the entity have outstanding debt? YES NO

4-2 Is the debt repayment schedule attached? If no, MUST explain: YES NO

4-3 Is the entity current in its debt service payments? If no, MUST explain: YES NO

4-4 Please complete the following debt schedule, if applicable: (please only include principal amounts)

	Outstanding at beginning of year*	Issued during year	Retired during year	Outstanding at year-end
General obligation bonds	\$ 4,180,000	\$ -	\$ 100,000	\$ 4,080,000
Revenue bonds	\$ -	\$ -	\$ -	\$ -
Notes/Loans	\$ -	\$ -	\$ -	\$ -
Lease Liabilities	\$ -	\$ -	\$ -	\$ -
Developer Advances	\$ 68,788	\$ -	\$ -	\$ 68,788
Other (specify):	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ 4,248,788	\$ -	\$ 100,000	\$ 4,148,788

*must agree to prior year ending balance

Please answer the following questions by marking the appropriate boxes.

4-5 Does the entity have any authorized, but unissued, debt [Section 29-1-605(2) C.R.S.]? YES NO

If yes: How much? \$ 44,000,000

Date the debt was authorized: 5/30/2018

4-6 Does the entity intend to issue debt within the next calendar year?

If yes: How much? \$ -

4-7 Does the entity have debt that has been refinanced that it is still responsible for?

If yes: What is the amount outstanding? \$ -

4-8 Does the entity have any lease agreements?

If yes: What is being leased? _____

What is the original date of the lease? _____

Number of years of lease? _____

Is the lease subject to annual appropriation?

What are the annual lease payments? _____

PART 5 - CASH AND INVESTMENTS

Please provide the entity's cash deposit and investment balances.

Please use this space to provide any explanations or comments:

5-1 YEAR-END Total of ALL Checking and Savings accounts AMOUNT TOTAL

5-2 Certificates of deposit \$ 197,868 \$ - \$ 197,868

TOTAL CASH DEPOSITS

Investments (if investment is a mutual fund, please list underlying investments):

Colotrust	\$ 5,085	
Fidelity Treasury Fund CI III #696	\$ 20,132	
	\$ -	
	\$ -	
TOTAL INVESTMENTS	\$ 25,217	
TOTAL CASH AND INVESTMENTS	\$ 223,085	

TOTAL CASH AND INVESTMENTS YES NO N/A

Please answer the following question by marking in the appropriate box

5-4 Are the entity's Investments legal in accordance with Section 24-75-601, et. seq., C.R.S.?

5-5 Are the entity's deposits in an eligible (Public Deposit Protection Act) public depository (Section 11-10.5-101, et seq. C.R.S.)? If no, MUST explain:

PART 6 - CAPITAL AND RIGHT-TO-USE ASSETS

Please answer the following question by marking in the appropriate box

Please use this space to provide any explanations or comments:

- 6-1 Does the entity have capitalized assets? YES NO
- 6-2 Has the entity performed an annual inventory of capital assets in accordance with Section 29-1-506, C.R.S.? If no, MUST explain: YES NO

	Balance - beginning of the year 1	Additions 2	Deletions	Year-End Balance
GOVERNMENTAL FUNDS:				
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ -	\$ -	\$ -	\$ -
Machinery and equipment	\$ -	\$ -	\$ -	\$ -
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction In Progress (CIP)	\$ 3,940,438	\$ -	\$ -	\$ 3,940,438
Leased Right-to-Use Assets	\$ -	\$ -	\$ -	\$ -
Intangible Assets	\$ -	\$ -	\$ -	\$ -
Other (explain):	\$ -	\$ -	\$ -	\$ -
Accumulated Amortization Right to Use Leased Assets (Enter a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation (Enter a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ 3,940,438	\$ -	\$ -	\$ 3,940,438
PROPRIETARY FUNDS:				
Land	\$ -	\$ -	\$ -	\$ -
Buildings	\$ -	\$ -	\$ -	\$ -
Machinery and equipment	\$ -	\$ -	\$ -	\$ -
Furniture and fixtures	\$ -	\$ -	\$ -	\$ -
Infrastructure	\$ -	\$ -	\$ -	\$ -
Construction In Progress (CIP)	\$ -	\$ -	\$ -	\$ -
Leased Right-to-Use Assets	\$ -	\$ -	\$ -	\$ -
Intangible Assets	\$ -	\$ -	\$ -	\$ -
Other (explain):	\$ -	\$ -	\$ -	\$ -
Accumulated Amortization Right to Use Leased Assets (Enter a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
Accumulated Depreciation (Enter a negative, or credit, balance)	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ -	\$ -	\$ -	\$ -

* Must agree to prior year-end balance
 - Generally capital asset additions should be reported at capital outlay on line 3-14 and capitalized in accordance with the government's capitalization policy. Please explain any discrepancy

PART 7 - PENSION INFORMATION

Please use this space to provide any explanations or comments:

- 7-1 Does the entity have an "old hire" firefighters' pension plan? YES NO
- 7-2 Does the entity have a volunteer firefighters' pension plan? YES NO
- If yes: Who administers the plan? YES NO

Indicate the contributions from:

Tax (property, SO, sales, etc.):	\$ -
State contribution amount:	\$ -
Other (gifts, donations, etc.):	\$ -
TOTAL	\$ -

What is the monthly benefit paid for 20 years of service per retiree as of Jan 1?

PART 8 - BUDGET INFORMATION

Please answer the following question by marking in the appropriate box

- 8-1 Did the entity file a current year budget with the Department of Local Affairs, in accordance with Section 29-1-113 C.R.S.? If no, MUST explain: YES NO N/A
- 8-2 Did the entity pass an appropriations resolution in accordance with Section 29-1-108 C.R.S.? If no, MUST explain: YES NO N/A

If yes: Please indicate the amount appropriated for each fund separately for the year reported

Governmental/Proprietary Fund Name	Total Appropriations By Fund
General Fund	\$ 70,426
Debt Fund	\$ 338,835
	\$ -
	\$ -

Please use this space to provide any explanations or comments:

PART 9 - TAX PAYER'S BILL OF RIGHTS (TABOR)

Please answer the following question by marking in the appropriate box

- 9-1 Is the entity in compliance with all the provisions of TABOR [State Constitution, Article X, Section 20(5)]? YES NO

Note: An election to exempt the government from the spending limitations of TABOR does not exempt the government from the 3 percent emergency reserve requirement. All governments should determine if they meet this requirement of TABOR.

Please use this space to provide any explanations or comments:

PART 10 - GENERAL INFORMATION

Please answer the following question by marking in the appropriate box

- 10-1 Is this application for a newly formed governmental entity? YES NO

If yes:

Date of formation:

- 10-2 Has the entity changed its name in the past or current year? YES NO

If Yes:

NEW name

PRIOR name

- 10-3 Is the entity a metropolitan district? YES NO

- 10-4 Please indicate what services the entity provides:

Water, sewer, parks and recreation, traffic control, streets, transportation, television relay and translation system improvements

- 10-5 Does the entity have an agreement with another government to provide services? YES NO

If yes:

List the name of the other governmental entity and the services provided:

- 10-6 Does the entity have a certified mill levy? YES NO

If yes:

Please provide the number of mills levied for the year reported (do not enter \$ amounts):

Bond Redemption mills	50.000
General/Other mills	11.055
Total mills	61.055

Please use this space to provide any additional explanations or comments not previously included:

OSA USE ONLY

Entity Wide:	General Fund	Governmental Funds	Notes
Unrestricted Cash & Investments	\$ 223,085	\$ 50,440	\$ 355,348
Current Liabilities	\$ 26,838	\$ 51,893	\$ 292,197
Deferred Inflow	\$ 336,676	\$ 16,139	\$ 358,515
	Total Expenditures	\$ 66,318	\$ 100,000
	Interfund In	\$ 30,564	\$ 183,740
	Interfund Out	-	-
Governmental	Proprietary	Enterprise Funds	Net Position
Total Cash & Investments	\$ 223,085	\$ -	\$ -
Transfers In	-	-	-
Transfers Out	-	-	-
Property Tax	\$ 331,812	-	-
Debt Service Principal	\$ 100,000	-	\$ 4,148,788
Total Expenditures	\$ 326,386	-	\$ 44,000,000
Total Developer Advances	-	-	-
Total Developer Repayments	-	-	\$ 5/30/2018

PART 12 - GOVERNING BODY APPROVAL

Please answer the following question by marking in the appropriate box

YES NO

12-1 If you plan to submit this form electronically, have you read the new Electronic Signature Policy?

Office of the State Auditor — Local Government Division - Exemption Form Electronic Signatures Policy and Procedures

Policy - Requirements

The Office of the State Auditor Local Government Audit Division may accept an electronic submission of an application for exemption from audit that includes governing board signatures obtained through a program such as DocuSign or Echosign. Required elements and safeguards are as follows:

- The preparer of the application is responsible for obtaining board signatures that comply with the requirement in Section 29-1-604 (3), C.R.S., that states the application shall be personally reviewed, approved, and signed by a majority of the members of the governing body.
- The application must be accompanied by the signature history document created by the electronic signature software. The signature history document must show when the document was created and when the document was emailed to the various parties, and include the dates the individual board members signed the document. The signature history must also show the individuals' email addresses and IP address.
- Office of the State Auditor staff will not coordinate obtaining signatures.

The application for exemption from audit form created by our office includes a section for governing body approval. Local governing boards note their approval and submit the application through one of the following three methods:

- 1) Submit the application in hard copy via the US Mail including original signatures.
- 2) Submit the application electronically via email and either,
 - a. Include a copy of an adopted resolution that documents formal approval by the Board, or
 - b. Include electronic signatures obtained through a software program such as DocuSign or Echosign in accordance with the requirements noted above.

Below is the certification and approval of the governing body. By signing, each individual member is certifying they are a duly elected or appointed officer of the local government. Governing members may be verified. Also by signing, the individual member certifies that this Application for Exemption from Audit has been prepared consistent with Section 29-1-604, C.R.S., which states that a governmental agency with revenue and expenditures of \$750,000 or less must have an application prepared by an independent accountant with knowledge of governmental accounting; completed to the best of their knowledge and is accurate and true. Use additional pages if needed.

Print the names of ALL members of the governing body below.

	Full Name	A MAJORITY of the members of the governing body must complete and sign in the column below.
1	Jorge Jaquez	I, <u>Jorge Jaquez</u> , attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Jorge Jaquez</u> Date: <u>Mar 28, 2023</u> My term Expires: <u>May 2023</u>
2	Ruben Martinez	I, <u>Ruben Martinez</u> , attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: _____ Date: _____ My term Expires: <u>May 2023</u>
3	Christopher Swanson	I, <u>Christopher Swanson</u> , attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Christopher Swanson</u> Date: <u>Mar 28, 2023</u> My term Expires: <u>May 2025</u>
4	Megan Swanson	I, <u>Megan Swanson</u> , attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: <u>Megan E. Swanson</u> Date: <u>Mar 28, 2023</u> My term Expires: <u>May 2025</u>
5	Full Name	I, _____, attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: _____ Date: _____ My term Expires: _____
6	Full Name	I, _____, attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: _____ Date: _____ My term Expires: _____
7	Full Name	I, _____, attest that I am a duly elected or appointed board member, and that I have personally reviewed and approve this application for exemption from audit. Signed: _____ Date: _____ My term Expires: _____

River Valley MD 2022

Interim Agreement Report










2023-03-29

Created:	2023-03-28
By:	Diane Wheeler (diane@simmonswheeler.com)
Status:	Out for Signature
Transaction ID:	CBJCHBCAABAAvzEc4WG_8xHggHzx3A8QShoDYy04_53_

Agreement History

Agreement history is the list of the events that have impacted the status of the agreement prior to the final signature. A final audit report will be generated when the agreement is complete.

"River Valley MD 2022" History


-  Document created by Diane Wheeler (diane@simmonswheeler.com)
2023-03-28 - 8:08:40 PM GMT
-  Document emailed to Diane Wheeler (diane@simmonswheeler.com) for signature
2023-03-28 - 8:09:49 PM GMT
-  Document emailed to cswan006@yahoo.com for signature
2023-03-28 - 8:09:50 PM GMT
-  Document emailed to meswanson4@gmail.com for signature
2023-03-28 - 8:09:50 PM GMT
-  Document emailed to Jorge Jaquez (jjaquez1018@gmail.com) for signature
2023-03-28 - 8:09:50 PM GMT
-  Document emailed to rcmr87@gmail.com for signature
2023-03-28 - 8:09:50 PM GMT
-  Document e-signed by Diane Wheeler (diane@simmonswheeler.com)
Signature Date: 2023-03-28 - 8:10:02 PM GMT - Time Source: server
-  Email viewed by meswanson4@gmail.com
2023-03-28 - 8:17:44 PM GMT
-  Signer meswanson4@gmail.com entered name at signing as Megan E Swanson
2023-03-28 - 8:18:06 PM GMT

 Document e-signed by Megan E Swanson (meswanson4@gmail.com)


Signature Date: 2023-03-28 - 8:18:08 PM GMT - Time Source: server

 Email viewed by cswan006@yahoo.com


2023-03-29 - 4:14:13 AM GMT

 Signer cswan006@yahoo.com entered name at signing as Christopher Swanson


2023-03-29 - 4:15:32 AM GMT

 Document e-signed by Christopher Swanson (cswan006@yahoo.com)

Signature Date: 2023-03-29 - 4:15:34 AM GMT - Time Source: server

 Email viewed by Jorge Jaquez (jjaquez1018@gmail.com)

2023-03-29 - 4:22:42 AM GMT

 Document e-signed by Jorge Jaquez (jjaquez1018@gmail.com)

Signature Date: 2023-03-29 - 4:24:40 AM GMT - Time Source: server

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